

A Novel Approach to Assess the Multidimensional Nature of Functional Recovery in Spinal Cord Injury Trials

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Karlo M. Pedro, MD

Assistant Professor
Department of Surgery, University of Toronto
Clinical Associate, Division of Neurosurgery & Spine Program
Toronto Western Hospital

Michael G. Fehlings, MD, PhD FRCSC FACS

Professor of Neurosurgery,
Vice Chair Research, Department of Surgery
Co-Chairman Spinal Program
University of Toronto

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No relevant disclosures.

Summary

I. Challenges in SCI Trials

- **Clinical Priorities of SCI patients**

II. WHO ICF Framework

III. The Global Statistical Analysis

- **GST Application in DCM**

IV. GST Application in SCI

V. Conclusion

Challenges in Spine Clinical Trial



Patient
recruitment

Loss to follow-
up

Heterogeneity
of cases

Complex
pathophysiology

Cost
consideration

What is a
positive effect?

Regulatory
issues

Implementation
issues

Shifting patient
demographics

Challenges in Clinical Trials

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Trials

COMMENTARY

Open Access



Why clinical trial outcomes fail to translate into benefits for patients

Carl Heneghan^{*}, Ben Goldacre and Kamal R. Mahtani

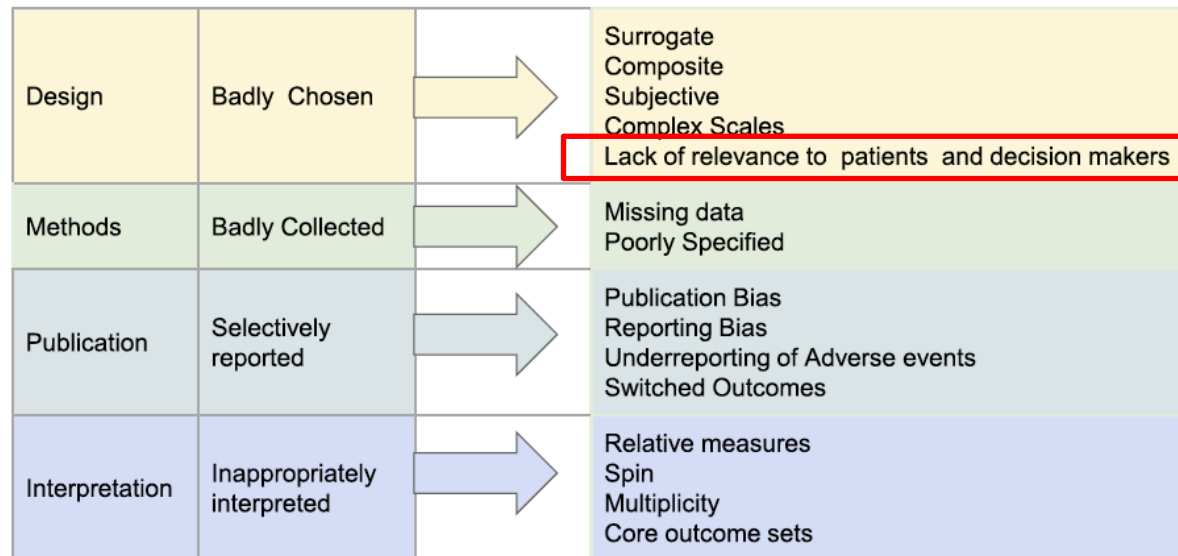


Fig. 1 Why clinical trial outcomes fail to translate into benefits for patients

Patient Priorities after SCI



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The health and life priorities of individuals with spinal cord injury: A systematic review

Lisa A. Simpson, BSc^{1,2}, Janice J. Eng, PhD^{2,3,4}, Jane T.C. Hsieh, MSc⁵, Dalton L. Wolfe, PhD^{5,6}, and the SCIRE Research Team

¹Graduate program in Rehabilitation Sciences, University of British Columbia, Vancouver, Canada

²Rehabilitation Research Lab, GF Strong Rehab Centre, Vancouver, Canada

³Dept. of Physical Therapy, University of British Columbia

⁴ICORD, Vancouver, Canada

⁵Aging, Rehabilitation and Geriatric Care Program, Lawson Health Research Institute, London, Canada

⁶Faculty of Health Sciences, University of Western Ontario, London, Canada

Abstract

Determining the priorities of individuals with spinal cord injury (SCI) can assist in aligning research priorities which ultimately improve these individuals' quality of life. This systematic review examined studies that directly surveyed people with SCI to ascertain their health priorities and life domains of importance. Twenty-four studies (combined sample of 5262) that met the inclusion criteria were identified using electronic databases (Medline, EMBASE, CINAHL, PsycINFO). The questionnaire methods and domains of importance were reviewed and described. While the questionnaires varied across the studies, a consistent set of priorities emerged. Functional recovery priorities were identified for the following areas: motor function (including arm/hand function for individuals with tetraplegia and mobility for individuals with paraplegia), bowel, bladder and sexual function. In addition, health, as well as relationships emerged as important life domains. The information from this study, which identified the priorities and domains of importance by individuals with SCI, may be useful for informing healthcare and research agenda-setting activities.

Keywords

SCI; consumer priorities; quality of life; well-being

Corresponding author: Janice J Eng, PhD, PT/OT, Professor, 2127 Friedman Building, 2177 Westbrook Mall, Vancouver, BC, V6T 1Z, Canada, Phone: 604-714-4105; Fax: 604-714-4168; janice.eng@ubc.ca.

AUTHOR DISCLOSURE STATEMENT

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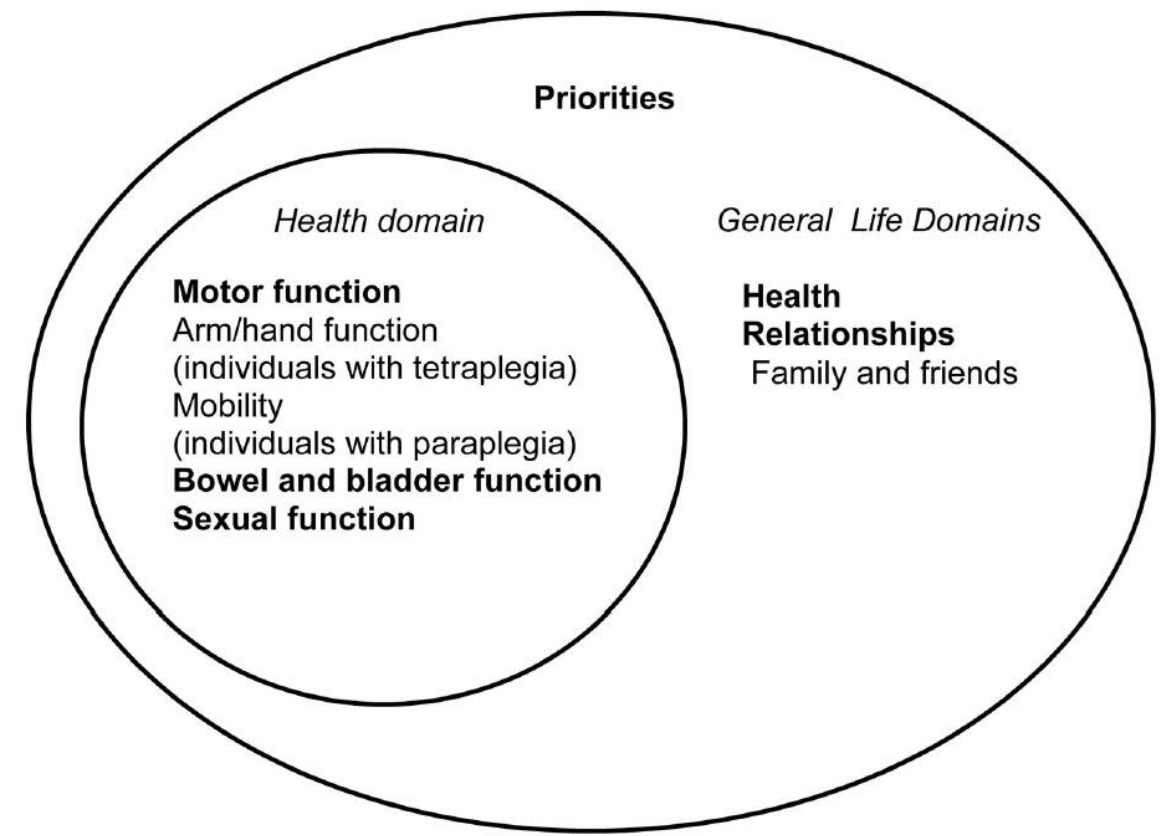


Figure 3.
Summary of review findings

WHO International Classification of Functioning, Disability and Health (ICF)

WHO, 2001

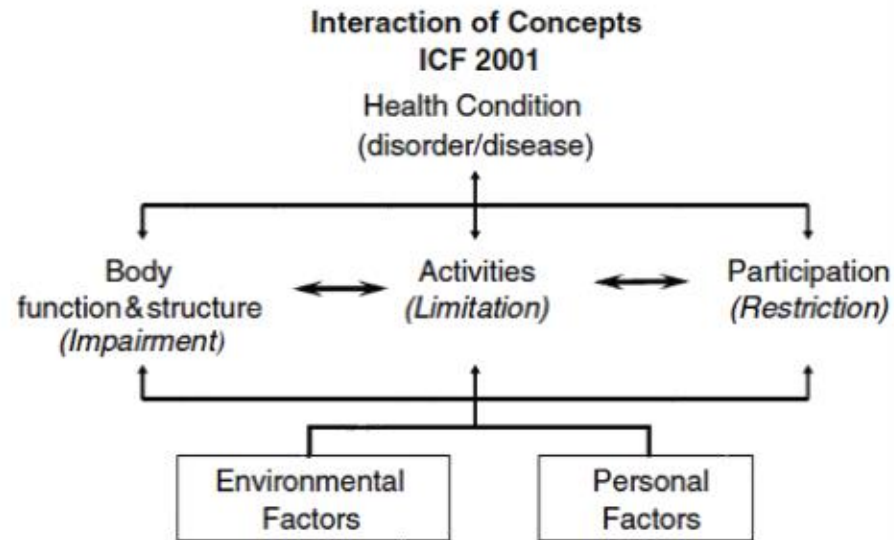
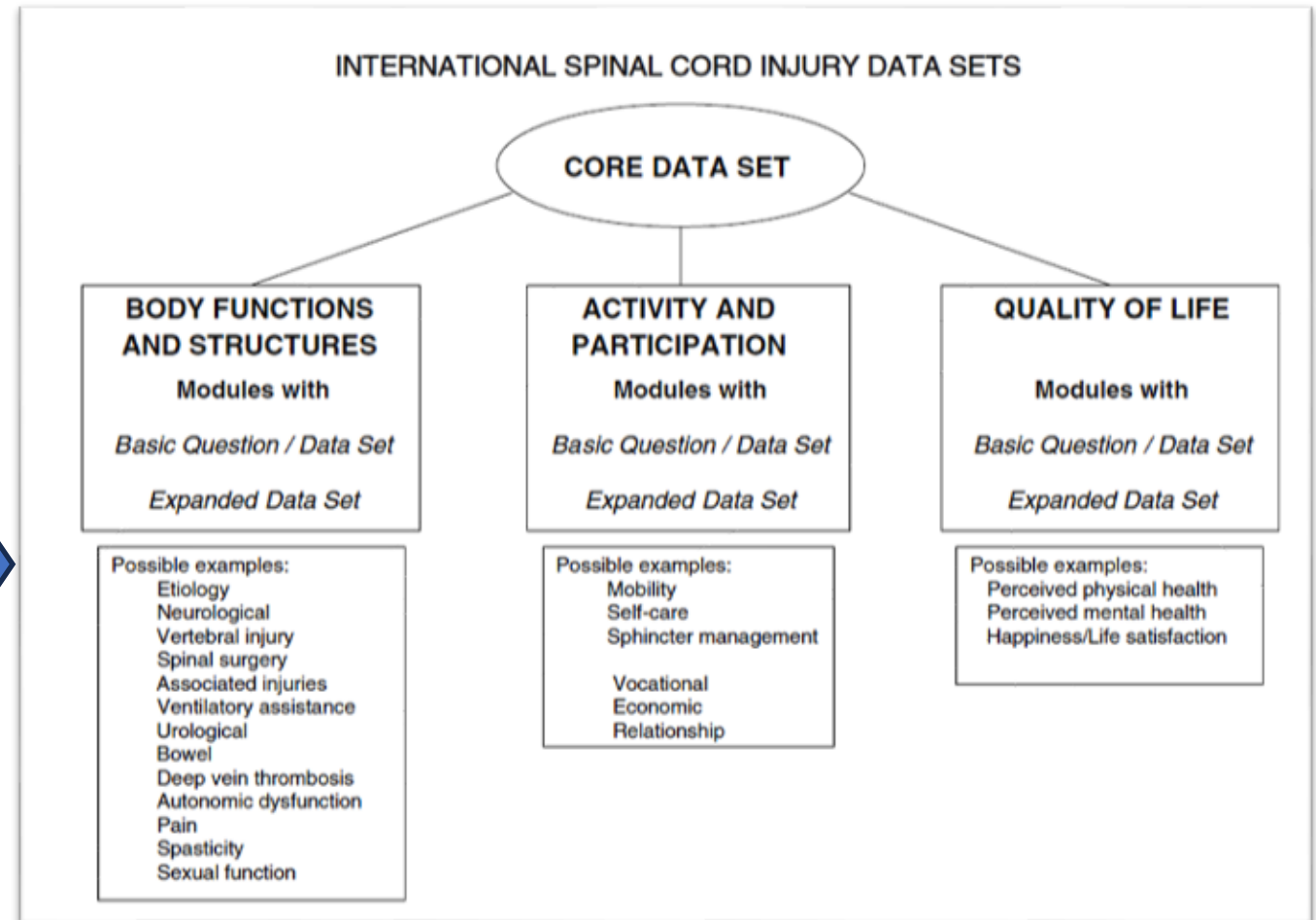
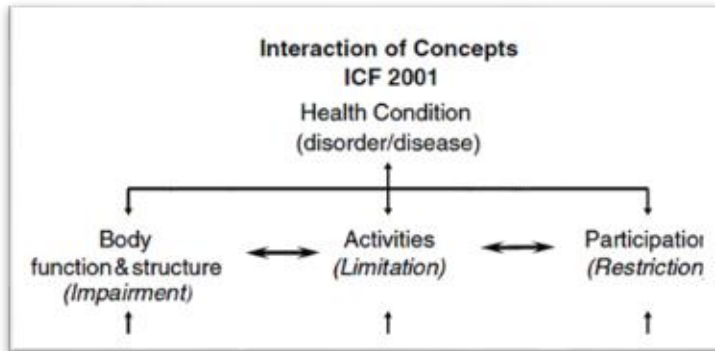


Figure 1 Interactions between components of the ICF⁹



WHO International Classification of Functioning, Disability and Health (ICF)



Old Terminology	New Terminology	Definition
Impairment	Body function/structure	Physiological functions of body systems including psychological. Structures are anatomical parts or regions of their bodies and their components. Impairments are problems in body function or structure.
Disability	Activity	-The execution of a task by an individual. Limitations in activity are defined as difficulties an individual might experience in completing a given activity.
Handicap	Participation	-Involvement of an individual in a life situation. Restrictions to participation describe difficulties experienced by the individual in a life situation or role.

<http://www.ebrsr.com>

Application of ICF Framework in SCI

Table 2 Outcome measurement tools as they relate to ICF domains and potential independent variables that could influence the accuracy of the measurement and/or the appropriate interpretation of data derived from clinical therapies targeted to the CNS

<i>International Classification of Functioning, disability and health (ICF) as ICF relates to CNS-targeted therapies for spinal cord injury</i>			
<i>Level or domain</i>	<i>Body structure and function</i>	<i>Activity</i>	<i>Participation</i>
What change in outcome is measured?	Improvement (or impairment), that is, Neurological or physiological	Functional capacity (or limitation)	Quality of life (or restriction)
Outcome tools (examples)	ISNCSCI scores, electrophysiology	SCIM, 10 m walk	CHART, SF-36
What factors influence outcomes within each domain? ^a	CNS integrity Neural circuits (sensory/motor)	CNS integrity Neural circuits Adaptive behaviours ^a Rehabilitation ^a Psychology/motivation ^a	CNS integrity Neural circuits Adaptive behaviours ^a Rehabilitation ^a Psychology/motivation ^a Community/family Support ^a Finances ^a Work/school ^a

Abbreviations: CHART, craig handicap and reporting technique; CNS, central nervous system; ISNCSCI, international standards for neurological classification of spinal cord injury; SF-36, short form 36; SCIM, spinal cord injury measure.

Increasing number of independent variables.

^aPotential independent variable.

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Outcome measures evolution in clinical trial



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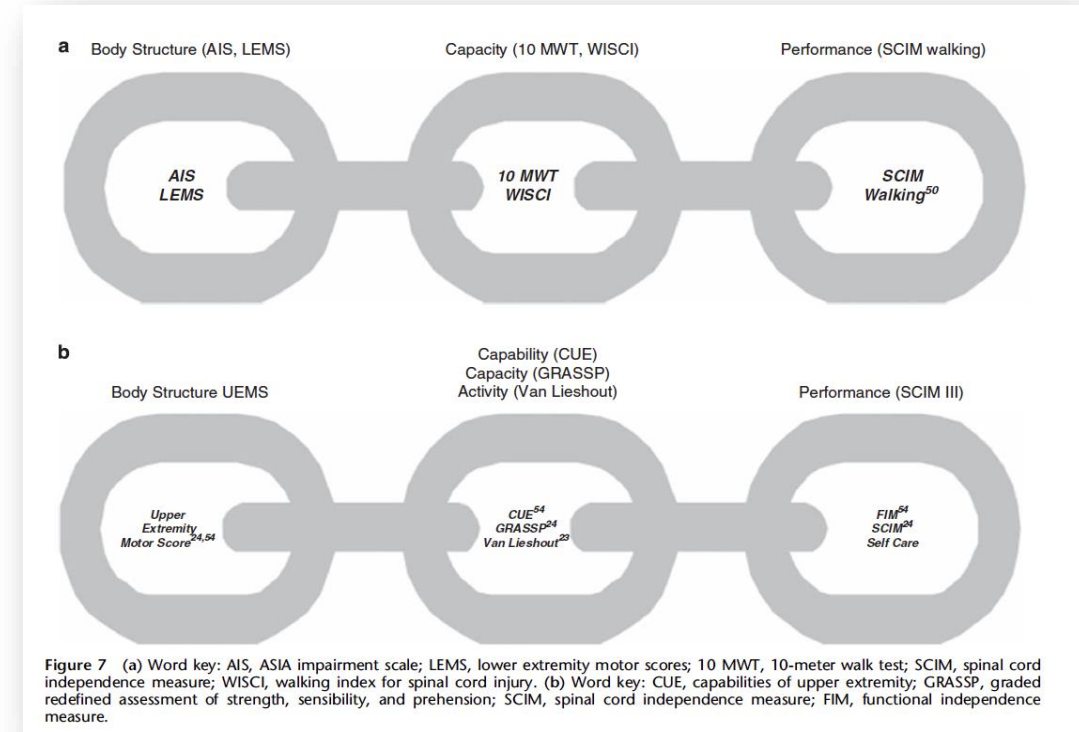
REVIEW

Outcome measures: evolution in clinical trials of neurological/functional recovery in spinal cord injury

JF Ditunno

Thomas Jefferson University Hospital, Philadelphia, PA, USA

Finally, the linkage of body function, capacity and performance should be known before entering a phase 3 trial, especially if all measures are to be included in the primary outcome measure. It is possible to consider the use of multiple primary end points or a global statistical test.⁶⁵ These issues need to be examined on a regular basis and we must encourage and applaud the combined efforts by ASIA/ISCOS and SCOPE to update outcome measures for use in clinical trials.



Global Statistical Technique (GST)

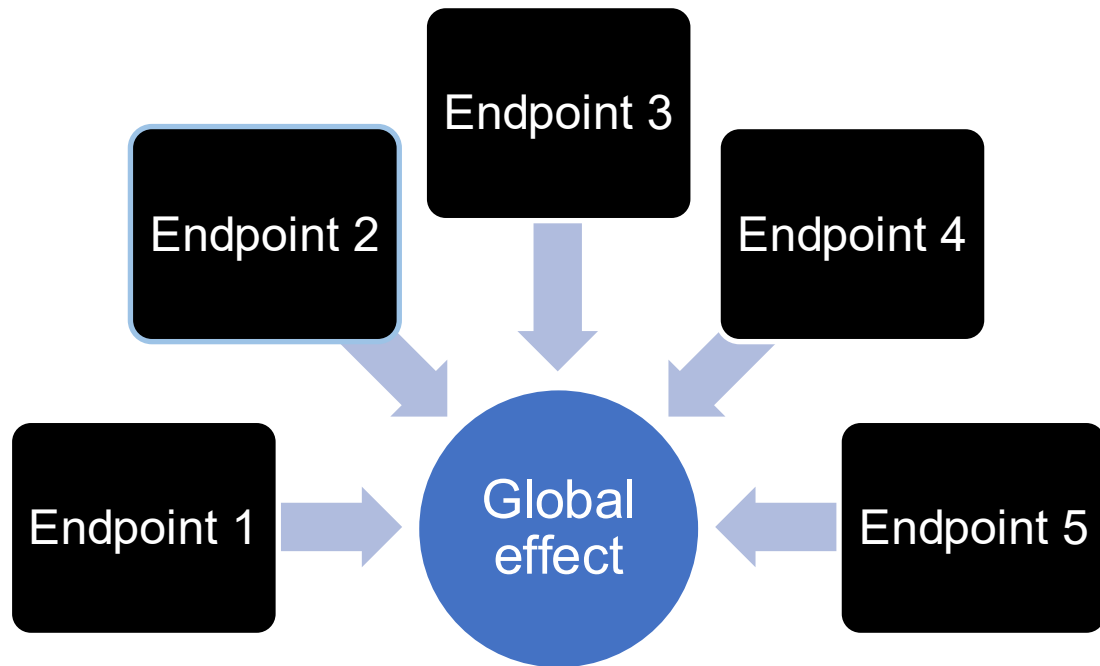
Biometrics . 1979 Sep;35(3):549-56.

Biometrics 2005; 61:532-539



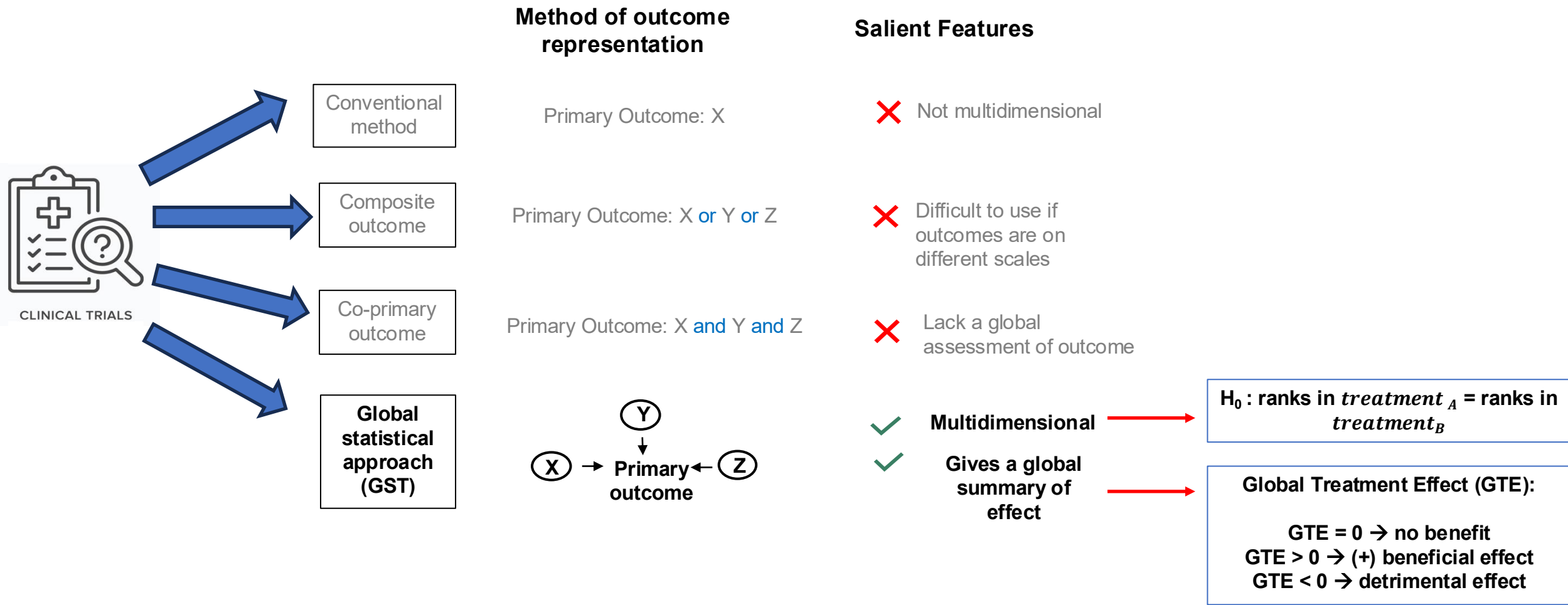
Peter O' Brien
(Mayo Clinic)

PRIMARY OUTCOME



- Gives a **global summary** of treatment effect
- High **statistical power** when treatment shows benefit on majority of outcomes
- Penalizes conflicting results (e.g treatment shows both benefit and detrimental results)

Global statistical test is a validated approach.

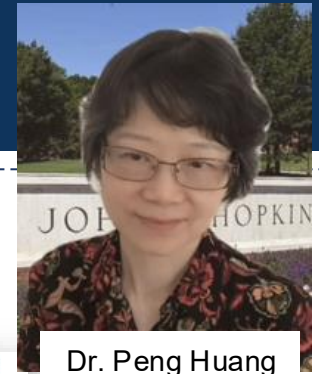


Global statistical test is a validated approach

- ❖ NINDS-sponsored trial on creatine supplementation in Parkinson Disease
- ❖ Used a GST approach in primary endpoint
- ❖ ...” *Given that PD is a multi-faceted disease, there is no single clinical measurement that reflects the full range of PD signs and symptoms*

Table 2. Components of the **Global Statistical Test** by Treatment Group for LS-1 Cohort 1; Change From Baseline to Year 5^a

Components Included in the Computation of Global Outcome	Treatment Group, Mean (SD)		Difference, Mean (95% CI) ^b
	Placebo (n = 478)	Creatine (n = 477)	
Ambulatory capacity score	2.8 (5.0)	3.1 (5.5)	-0.3 (-1.0 to 0.4)
Modified Rankin ^c	2.1 (1.5)	2.2 (1.6)	-0.1 (-0.3 to 0.1)
PDQ-39 Summary Index	13 (23.2)	14.2 (23.5)	-1.2 (-4.2 to 1.7)
Schwab and England ADL ^d	14.8 (26.0)	16.8 (28.3)	-2.0 (-5.5 to 1.5)
Symbol Digit Modalities ^d	4.5 (16.8)	4.9 (17.7)	-0.4 (-2.7 to 1.8)



Dr. Peng Huang
(Johns Hopkins University)

Features of GST

Advantages

1. Useful to test a treatment's global benefit across different outcomes and determine whether a treatment is preferred to use.
2. High statistical power when treatment shows benefit on majority of outcomes.
3. Avoids misinterpretation of data if some outcomes show improvement but at the price of serious decline in other key target outcomes.

Limitations

1. Lose power if the objective is to detect any type of treatment difference when both beneficial and detrimental effects are seen from different outcomes.

Application of GST in DCM



Original Investigation | Surgery

Riluzole for Degenerative Cervical Myelopathy A Secondary Analysis of the CSM-PROTECT Trial

Michael G. Fehlings, MD, PhD; Karlo M. Pedro, MD; Mohammed Ali Alvi, MD; Jetan H. Badhiwala, MD, PhD; Henry Ahn, MD; H. Francis Farhadi, MD; Christopher I. Shaffrey, MD; Ahmad Nassr, MD; Praveen Mummaneni, MD; Paul M. Arnold, MD; W. Bradley Jacobs, MD; K. Daniel Riew, MD; Michael Kelly, MD; Darrel S. Brodke, MD; Alexander R. Vaccaro, MD; Alan S. Hilibrand, MD; Jason Wilson, MD; James S. Harrop, MD; S. Tim Yoon, MD; Kee D. Kim, MD; Daryl R. Fournay, MD; Carlo Santaguida, MD; Eric M. Massicotte, MD; Peng Huang, PhD

Abstract

IMPORTANCE The modified Japanese Orthopaedic Association (mJOA) scale is the most common scale used to represent outcomes of degenerative cervical myelopathy (DCM); however, it lacks consideration for neck pain scores and neglects the multidimensional aspect of recovery after surgery.

OBJECTIVE To use a global statistical approach that incorporates assessments of multiple outcomes to reassess the efficacy of riluzole in patients undergoing spinal surgery for DCM.

DESIGN, SETTING, AND PARTICIPANTS This was a secondary analysis of prespecified secondary end points within the Efficacy of Riluzole in Surgical Treatment for Cervical Spondylotic Myelopathy (CSM-PROTECT) trial, a multicenter, double-blind, phase 3 randomized clinical trial conducted from January 2012 to May 2017. Adult surgical patients with DCM with moderate to severe myelopathy (mJOA scale score of 8-14) were randomized to receive either riluzole or placebo. The present study was conducted from July to December 2023.

INTERVENTION Riluzole (50 mg twice daily) or placebo for a total of 6 weeks, including 2 weeks prior to surgery and 4 weeks following surgery.

MAIN OUTCOMES AND MEASURES The primary outcome measure was a difference in clinical improvement from baseline to 1-year follow-up, assessed using a global statistical test (GST). The 36-Item Short Form Health Survey Physical Component Score (SF-36 PCS), arm and neck pain numeric rating scale (NRS) scores, American Spinal Injury Association (ASIA) motor score, and Nurick grade were combined into a single summary statistic known as the global treatment effect (GTE).

RESULTS Overall, 290 patients (riluzole group, 141; placebo group, 149; mean [SD] age, 59 [10.1] years; 161 [56%] male) were included. Riluzole showed a significantly higher probability of global improvement compared with placebo at 1-year follow-up (GTE, 0.08; 95% CI, 0.00-0.16; $P = .02$). A similar favorable global response was seen at 35 days and 6 months (GTE for both, 0.07; 95% CI, -0.01 to 0.15; $P = .04$), although the results were not statistically significant. Riluzole-treated patients had at least a 54% likelihood of achieving better outcomes at 1 year compared with the placebo group. The ASIA motor score and neck and arm pain NRS combination at 1 year provided the best-fit parsimonious model for detecting a benefit of riluzole (GTE, 0.11; 95% CI, 0.02-0.16; $P = .007$).

CONCLUSIONS AND RELEVANCE In this secondary analysis of the CSM-PROTECT trial using a global outcome technique, riluzole was associated with improved clinical outcomes in patients with

(continued)

Key Points

Question Can a composite outcome be used to represent the diverse aspects of functional recovery in patients with degenerative cervical myelopathy (DCM) to assess the potential efficacy of perioperative riluzole in optimizing recovery?

Findings In this secondary analysis of a randomized clinical trial including 290 surgical patients with DCM, use of a global statistical approach combining 5 distinct outcome scales revealed a superior global treatment effect with riluzole compared with placebo, with a statistically significant difference in clinical improvement at 1-year follow-up.

Meaning In this study, treatment with riluzole was associated with an improved composite outcome among patients with DCM managed surgically.

+ Invited Commentary

+ Supplemental content

Author affiliations and article information are listed at the end of this article.

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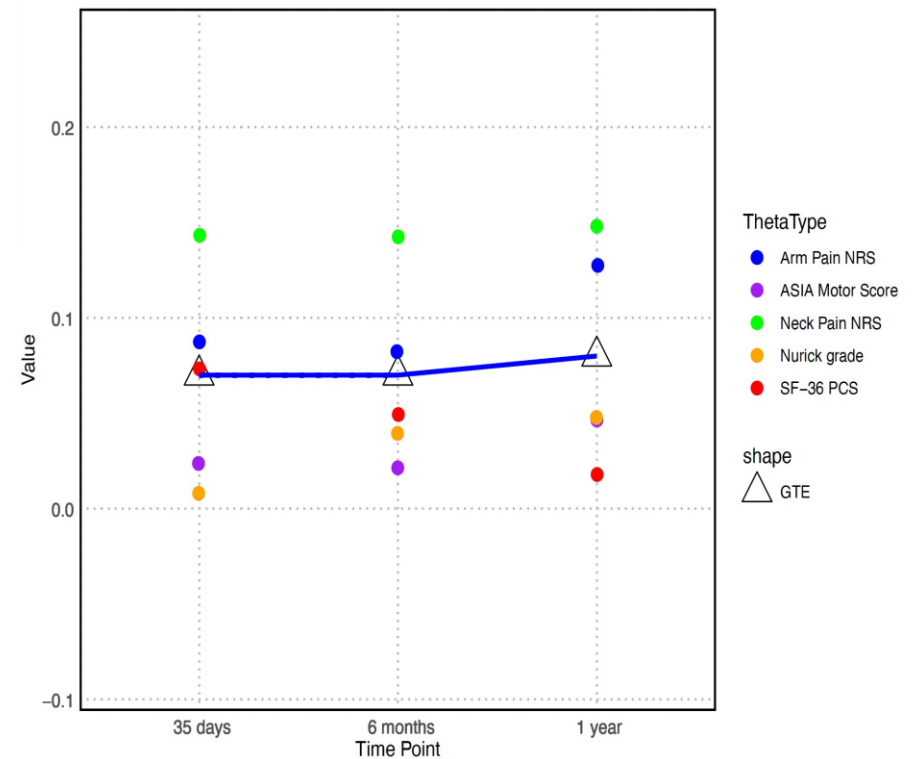
June 21, 2024 1/12

CSM-Protect Trial Analysis using GST

Table 2. Application of a global statistical approach in the estimation of GTE and in significance testing

	Global treatment effect computation					Hypothesis testing			
	Theta Values					GTE (SD)	Mean Rank-sum (placebo)	Mean Rank-sum (Riluzole)	p value
	SF-36 PCS	Neck Pain NRS	Arm Pain NRS	ASIA Motor Score	Nurick Grade				
Direction	+	-	-	+	-				
35 days	0.07	0.14	0.09	0.02	0.01	0.07 (0.04)	704.10	752.30	0.04
6 months	0.05	0.14	0.08	0.02	0.04	0.07 (0.04)	703.20	753.20	0.04
1 year	0.016	0.15	0.13	0.05	0.05	0.08 (0.04)	698.80	757.80	0.02

$$\text{probability of improvement in Riluzole} = \frac{(1 + 0.08)}{2} = 54\%$$



International Campaign for Cures of Spinal Cord Injury Paralysis (ICCP) - 2007

Review

Guidelines for the conduct of clinical trials for spinal cord injury (SCI) as developed by the ICCP panel: clinical trial outcome measures

JD Steeves^{*1}, D Lammertse², A Curt¹, JW Fawcett³, MH Tuszynski⁴, JF Ditunno⁵, PH Ellaway⁶, MG Fehlings⁷, JD Guest⁸, N Kleitman⁹, PF Bartlett¹⁰, AR Blight¹¹, V Dietz¹², BH Dobkin¹³, R Grossman¹⁴, D Short¹⁵, M Nakamura¹⁶, WP Coleman¹⁷, M Gaviria¹⁸ and A Privat¹⁸

An international panel reviewed the methodology for clinical trials of spinal cord injury (SCI), and provided recommendations for the valid conduct of future trials. This is the second of four papers. It examines clinical trial end points that have been used previously, reviews alternative outcome tools and identifies unmet needs for demonstrating the efficacy of an experimental intervention after SCI. The panel focused on outcome measures that are relevant to clinical trials of experimental cell-based and pharmaceutical drug treatments. Outcome measures are of three main classes: (1) those that provide an anatomical or neurological assessment for the connectivity of the spinal cord, (2) those that categorize a subject's functional ability to engage in activities of daily living, and (3) those that measure an individual's quality of life (QoL). The American Spinal Injury Association impairment scale forms the standard basis for measuring neurologic outcomes. Various electrophysiological measures and imaging tools are in development, which may provide more precise information on functional changes following treatment and/or the therapeutic action of experimental agents. When compared to appropriate controls, an improved functional outcome, in response to an experimental treatment, is the necessary goal of a clinical trial program. Several new functional outcome tools are being developed for measuring an individual's ability to engage in activities of daily living. Such clinical end points will need to be incorporated into Phase 2 and Phase 3 trials. QoL measures often do not correlate tightly with the above outcome tools, but may need to form part of Phase 3 trial measures.

Three Main Classes of Outcome Measures:

1. Measures that **provide an anatomical or neurological assessment** for the connectivity of the spinal cord
2. Measures that categorize a subject's **functional ability** to engage in activities of daily living
3. Measures that assess individual's **quality of life**

“Improved functional outcomes,... is the necessary goal of a clinical trial program..”

“QoL measures may need to form part of Phase 3 trial measures.”

The sodium-glutamate antagonist riluzole improves outcome after acute spinal cord injury: results from the RISCIS randomised controlled trial analysed using a global statistical analytic technique

Michael G. Fehlings,^{a,b,c,*} Karlo M. Pedra,^{a,b,c} Mohammed Ali Ali,^{a,b,c} Ali Moghaddamjou,^a James S. Harrop,^a Ralph Stanford,^a Jonathon Ball,^f Bizhan Aarabi,^g Paul M. Arnold,^h James D. Guest,ⁱ Shekar N. Kurpad,^j James M. Schuster,^k Ahmad N. Nassir,^l Karl M. Schmitt,^m Jefferson R. Wilson,^a Darrel S. Brodke,ⁿ Faiz U. Ahmad,^o Albert Yee,^p Wilson Z. Ray,^q Nathaniel P. Brooks,^r Jason Wilson,^s Diana S-L. Chow,^t Elizabeth G. Toups,^u Kevin E. Thorpe,^{v,w} Jiaxin Huang,^z and Peng Huang^{xy}

^aDivision of Neurosurgery and Spine Program, Department of Surgery, University of Toronto, Toronto, ON, Canada

^bDivision of Neurosurgery, Krembil Neuroscience Centre, Toronto Western Hospital, University Health Network, Toronto, ON, Canada

^cInstitute of Medical Science, University of Toronto, Toronto, ON, Canada

^dDepartment of Neurological Surgery, Thomas Jefferson University, Philadelphia, PA, USA

^eNeuroscience Research Australia and Prince of Wales Hospital, Sydney, Australia

^fRoyal North Shore Hospital, St. Leonards, New South Wales, Australia

^gDepartment of Neurosurgery, University of Maryland School of Medicine, Baltimore, MD, USA

^hCarle Illinois College of Medicine, University of Illinois Urbana-Champaign, Champaign, IL, USA

ⁱDepartment of Neurosurgery and the Miami Project to Cure Paralysis, The Miller School of Medicine, University of Miami, Miami, FL, USA

^jDepartment of Neurosurgery, Medical College of Wisconsin, Wauwatosa, WI, USA

^kDepartment of Neurosurgery, Pennsylvania Hospital, University of Pennsylvania Health System, Philadelphia, PA, USA

^lDepartment of Orthopedic Surgery, Mayo Clinic, Rochester, MN, USA

^mDepartment of Neurosurgery, Health Science Center, University of Texas, Houston, TX, USA

ⁿDepartment of Orthopaedics, University of Utah, Salt Lake City, UT, USA

^oDepartment of Neurological Surgery, Emory University School of Medicine, Atlanta, GA, USA

^pDepartment of Surgery, University of Toronto, Toronto, ON, Canada

^qDepartment of Neurosurgery, Washington University, St. Louis, MO, USA

^rDepartment of Neurological Surgery, University of Wisconsin School of Medicine and Public Health, Madison, WI, USA

^sDepartment of Neurosurgery and School of Medicine, Louisiana State University Health Sciences Center, New Orleans, LA, USA

^tDepartment of Pharmacological and Pharmaceutical Sciences, College of Pharmacy, University of Houston, Houston, TX, USA

^uDepartment of Neurosurgery, Houston Methodist Hospital, Houston, TX, USA

^vDalla Lana School of Public Health, University of Toronto, Toronto, ON, Canada

^wApplied Health Research Centre, Li Ka Shing Knowledge Institute, St. Michael's Hospital, Toronto, Ontario, Canada

^xDepartment of Biostatistics, Johns Hopkins University, Baltimore, MD, USA

^yDepartment of Oncology, Johns Hopkins University, Baltimore, MD, USA

Summary

Background Spinal cord injury (SCI) clinical trials typically rely on a single primary endpoint to assess drug efficacy. This strategy fails to adequately capture the full impact of treatment in heterogeneous neurological conditions like SCI. A more patient-centric analysis requires assessment of neurological function, functional capacity, and quality of life, incorporating meaningful patient-reported outcomes. The global statistical test (GST) addresses this challenge using a unified statistical conclusion regarding the superiority of a treatment strategy over another by evaluating multiple trial endpoints simultaneously.

Methods The RISCIS trial (Safety and Efficacy of Riluzole in Acute Spinal Cord Injury Study) data was analysed using a multivariate nonparametric GST, integrating the total American Spinal Injury Association (ASIA) motor score (TOTM), Spinal Cord Independence Measure (SCIM), and SF-36 PCS (Short Form-36 Physical Component Scale) scores. In the RISCIS trial, patients with severe cervical SCI (AIS A, B, and C) were randomised to receive riluzole or placebo within 12 h of injury in a double blinded fashion. We compared six-month outcomes between groups using a modified O'Brien's rank sum test with sample variance adjustment. Higher summed ranks represent better global outcomes. The overall probability of improvement was computed using a summary estimate, the global treatment effect (GTE).

*Corresponding author.

E-mail address: michael.fehlings@uhn.ca (M.G. Fehlings).

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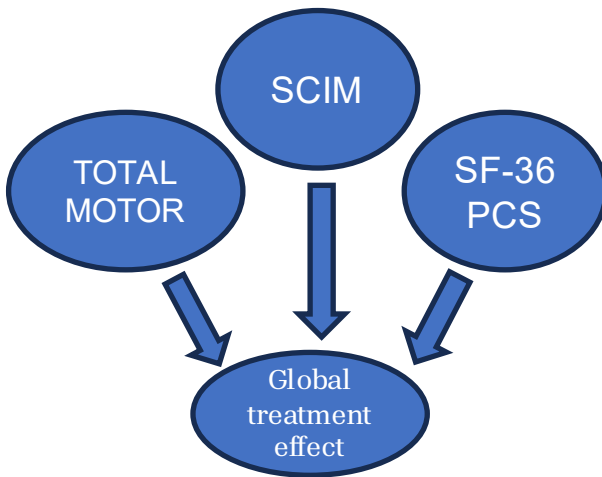
RISCIS Trial Re-analysis using GST

Table 2. Univariate testing vs GST analysis of outcomes (change from baseline to 6 months) between riluzole and placebo

	Outcome Scale	Student t-test		Wilcoxon rank sum test		GST		GTE
		t-statistics	p value	z-statistics	p value	t-statistics ^a	p value	
All Patients (n = 131)	SCIM	-1.85	0.97	-2.00	0.97	-	-	0.13
	TOTM	-0.59	0.72	-0.64	0.74	-	-	0.06
	SF-36 PCS	-0.75	0.77	-0.80	0.79	-	-	0.11
	All scales	-	-	-	-	1.76	0.04	0.10
AIS A (n = 65)	SCIM	-0.77	0.78	-1.11	0.87	-	-	0.29
	TOTM	0.64	0.26	-0.27	0.61	-	-	0.05
	SF-36 PCS	-0.99	0.33	-0.63	0.73	-	-	0.14
	All scales	-	-	-	-	2.11	0.02	0.16
AIS B (n = 27)	SCIM	-2.06	0.97	-2.13	0.98	-	-	0.24
	TOTM	-0.32	0.63	0	0.50	-	-	0.03
	SF-36 PCS	-0.00	0.99	-0.31	0.62	-	-	0.10
	All scales	-	-	-	-	0.70	0.26	0.10
AIS C (n = 38)	SCIM	-0.18	0.57	-0.43	0.66	-	-	-0.20
	TOTM	-0.73	0.76	-1.02	0.85	-	-	0.28
	SF-36 PCS	0.22	0.41	0.35	0.36	-	-	-0.03
	All scales	-	-	-	-	0.16	0.44	0.02

GST – global statistical test; GTE – global treatment effect; SCIM – Spinal Cord Independence Measure; SF-36 PCS – Short Form Health Survey Physical Component Score; TOTM – total motor score

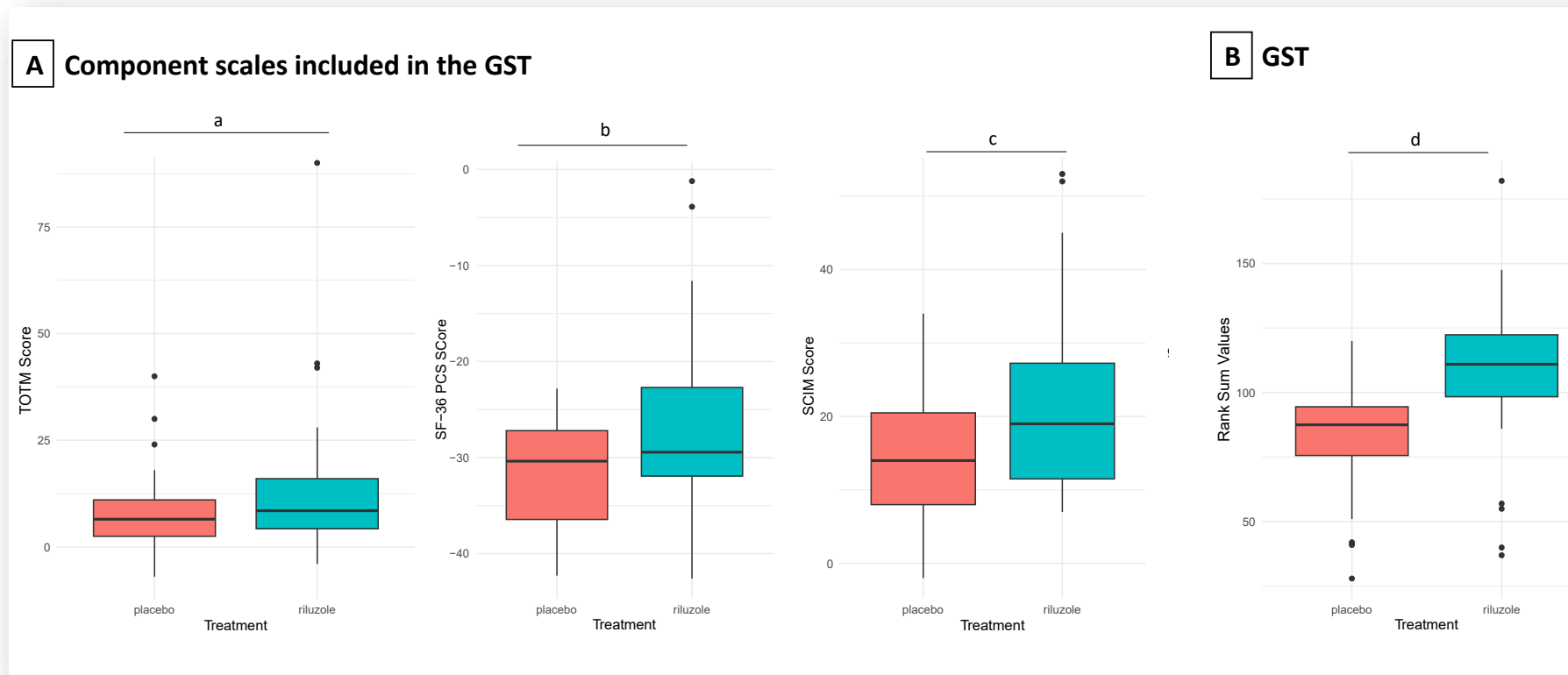
^a Using O'Brien's rank sum type test with variance adjustment for testing the general nonparametric Behrens-Fisher hypothesis



Riluzole is a drug option in severe SCI.

RISCIS Trial Re-analysis using GST

Figure 2. Boxplot of individual outcome scale (change from baseline to 6 months) compared between placebo and riluzole group in A/S A subgroup (n=65). (A.) Total Motor Score (TOTM), Short Form Physical Component Score (SF-36 PCS), and Spinal Cord Independence Measure (SCIM). (B) The boxplot representing the rank sum used for the GST analysis was added for comparative purpose. All p values were derived using t-test.



^aP= 0.26 by student t-test.

^bP=0.84 by student t-test.

^cP=0.78 by student t-test.

^dP=0.02 by O'Brien modified
t-test

Benefits of the GST Approach

COMPOSITE ENDPOINT

- Potential **higher statistical power**
- Difficult to construct a composite score if outcomes are in **different scales**
- Results are **not straightforward** to interpret (which outcome drives the result?)

PRIMARY OUTCOME: any of the

Endpoint 1

Endpoint 2

Endpoint 3

Endpoint 4

Endpoint 5

CO-PRIMARY ENDPOINT

- True **multifactorial test**
- **Lower power** compared to other tests
- **Lack global assessment** of treatment benefit

PRIMARY OUTCOME:

Endpoint 1 **and**

Endpoint 2 **and**

Endpoint 3 **and**

Endpoint 4 **and**

Endpoint 5 **and**

GST Analysis using Experimental Data



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Global test statistics for treatment effect of stroke and traumatic brain injury in rats with administration of bone marrow stromal cells

Mei Lu^{a,*}, Jieli Chen^b, Dunyue Lu^c, Li Yi^b, Asim Mahmood^c, Michael Chopp^d

^a Department of Biostatistics and Research Epidemiology, Henry Ford Health Sciences Center, One Ford Place, 3E, Detroit, MI 48202, USA

^b Department of Neurology, Henry Ford Health System, Detroit, MI 48202, USA

^c Department of Neurosurgery, Henry Ford Health System, Detroit, MI 48202, USA

^d Oakland University, Department of Physics, Rochester, MI 48309, USA

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Abstract

Because no single test measures disability in rats with middle cerebral artery occlusion/traumatic brain injury (MCAo/TBI), multiple tests are needed to assess the effect of bone marrow stromal cell (MSC) on functional recovery. Testing the treatment effect on each outcome at the 0.05 level without adjusting for multiple outcomes can increase type I error. Therefore, we applied the global test to evaluate a common MSC dose effect on multiple outcomes in two applications: (i) MCAo rats with the MSC dose of zero (BPS), 1×10^6 and 3×10^6 , and (ii) TBI rats with the MSC dose of zero, 1×10^6 , 2×10^6 and 4×10^6 , administered intravenously at 1 day after injury. For the MCAo rats, 3×10^6 MSCs improved the 14 day functional recovery ($P < 0.05$) compared to the controls. TBI rats with the MSC dose 4×10^6 were improved significantly at 1 month compared to controls, rats with 1×10^6 or 2×10^6 MSCs ($P < 0.05$). The global test on multiple outcomes is more efficient than a single outcome when treatment effects are consistent. The less correlation among the outcomes, the more power and, therefore, the higher efficiency of the global test. We demonstrated that the global test for continuous outcomes could be implemented under careful statistical modeling and proper data transformation.

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Keywords: Stroke; Traumatic brain injury; Rat; Data analysis; Global test

Table 2
MSC dose response on 14 days functional recovery

Treatment	Adhesive-Removal (s) mean $\pm$ S.D.	Rotarod (%) mean $\pm$ S.D.	mNSS (score) mean $\pm$ S.D.
<i>Pre-treatment (baseline)</i>			
Control ($n = 6$)	116.0 $\pm$ 8.0	43.3 $\pm$ 21.3	8.3 $\pm$ 1.5
1×10^6 MSCs ($n = 6$)	111.7 $\pm$ 13.3	35.9 $\pm$ 21.7	8.7 $\pm$ 2.3
3×10^6 MSCs ($n = 7$)	106.9 $\pm$ 23.8	49.1 $\pm$ 22.4	8.6 $\pm$ 2.8
<i>Post-treatment (14 d)</i>			
Control	86.3 $\pm$ 28.0	68.8 $\pm$ 16.1	7.3 $\pm$ 0.8
1×10^6 MSCs ^a	52.0 $\pm$ 22.2	65.6 $\pm$ 21.5	5.2 $\pm$ 3.1
3×10^6 MSCs ^{a,b}	33.6 $\pm$ 15.8	73.5 $\pm$ 18.3	4.6 $\pm$ 1.8

^a Significant improvement on functional recovery compared to controls based on the global test.

^b $P = 0.06$, marginal differences on functional recovery between MSC dose 1×10^6 and 3×10^6 .

The global test on multiple outcomes is more efficient than a single outcome when treatment effects are consistent

CONCLUSION

- Heterogeneity is inherent in the SCI population – *this is not a limitation*
- The use of *single outcome measure* have limitations in representing outcomes in SCI
- GST is a potential solution to *analyze trials with multiple endpoints*
- GST is a *non-parametric, adaptable, and truly multidimensional* solution for clinical trial analysis



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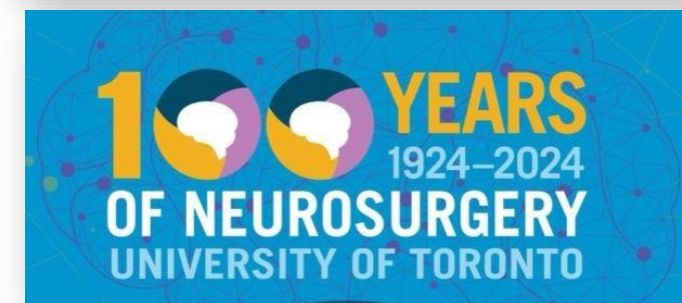
Dr. Peng Huang



Xiajin Huang



Dr. Jefferson Wilson



Investigators



Investigator	Site	Country
Michael Fehlings, MD	University of Toronto Spine Program, Toronto, ON Canada	CAN
Robert Grossman, MD	The Methodist Hospital	USA
Faiz Ahmad, MD	Emory University, Atlanta, GA	USA
Bizhan Aarabi, MD	University of Maryland, Baltimore, MD	USA
Chun-Po Yen, MD	University of Virginia, Charlottesville, VA	USA
Zsolt Balogh, MD	John Hunter Hospital, Newcastle, AU	AU
Jason Wilson, MD	Louisiana State University, New Orleans, LA	USA
Shekar Kurpad, MD	Medical College of Wisconsin, Milwaukee, WI	USA
James Guest, MD	University of Miami, Miami, FL	USA
James Schuster, MD	Hospital of the University of Pennsylvania, Philadelphia, PA	USA
James Harrop, MD	Rothman Institute, Philadelphia, PA	USA
Ralph Stanford, MD	Prince of Wales Hospital, Sydney, AU	AU

Investigator	Site	Country
Brian Freeman, MD	Royal Adelaide Hospital, Adelaide, AU	AI
Jonathon Ball, MD	Royal North Shore Hospital, Sydney, AU	AU
Ahmad Nassr, MD	Mayo Clinic, Rochester, MN	USA
Stephen McKenna, MD	Santa Clara Valley Medical Center, San Jose, CA	USA
Darrel Brodke, MD	University of Utah, Salt Lake City, UT	USA
Jefferson Wilson, MD	University of Toronto Spine Program, Toronto, ON, Canada	CAN
Albert Yee, MD	University of Toronto Spine Program, Toronto, ON, Canada	CAN
Maxwell Boakye, MD	University of Louisville, Louisville, KY	USA
Christine Goldstein, MD	University of Missouri, Columbia, MO	USA
Karl Schmitt, MD	Health Science Center, Houston, TX	USA
Nathanial Brooks, MD	University of Wisconsin, Madison, WI	USA
Zack Ray, MD	Washington University, St. Louis, MO	USA



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- Thank you very much!